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1645

# TRANSMITTAL FORM

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Total Number of Pages in This Submission

	Application Number	10/008,244
	Filing Date	November 7, 2001
	First Named Inventor	Huang, Alan
	Art Unit	1645
	Examiner Name	To Be Assigned
	Attorney Docket Number	018781-005510US

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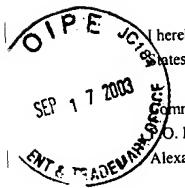
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Joseph R. Snyder

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TOWNSEND and TOWNSEND and CREW LLP

By: 

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Alan Huang et al.

Application No.: 10/008,244

Filed: November 7, 2001

For: STAT MODULATORS

Examiner: To Be Assigned

Art Unit: 1645

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98**

Commissioner for Patents  
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Alexandria, VA 22313-1450

Sir:

The references cited on the attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

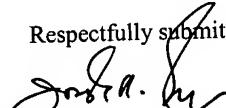
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Alan Huang et al.  
Application No.: 10/008,244  
Page 2

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Respectfully submitted,



Joseph R. Snyder  
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60033536 v1

Substitute for form 1470, MPEP

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 3

*Complete if Known*

Application Number	10/008,244
Filing Date	November 7, 2001
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Art Unit	1645
Examiner Name	To Be Assigned
Attorney Docket Number	018781-005510US

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**U.S. PATENT DOCUMENTS+**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
1.	5,591,825		01-07-1997	McKnight et al.	
2.	5,639,858		06-1997	Hoey et al.	
3.	5,710,266		01-1998	McKnight et al.	
4.	5,716,622 A		02-10-1998	Darnell, Jr. et al.	
5.	5,731,155 A		03-24-1998	Schreiber et al.	
6.	5,591,825		01-1997	McKnight et al.	
7.	6,426,331 B1		07-30-2003	McKinney et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>4</sup> (if known)		
8.	JP	10175964			06-30-1998	Sumitomo Seiyaku KK
9.	JP	10175965			06-30-1998	Sumitomo Seiyaku KK
10.	JP	11106340			04-20-1999	Sumitomo Seiyaku KK
11.	JP	11116481			04-27-1999	Sumitomo Seiyaku KK
12.	JP	2000 229959	A1		08-22-2000	SumitomoChem Co Ltd
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14.	WO	98/31704	A1		07-23-1998	Novartis Pharm.
15.	WO	99/24442	A1		05-20-1999	Ariad Pharm. Inc.
16.	WO	99/50283	A1		10-07-1999	Tularik Inc.
17.	WO	00/027802	A1		05-18-2000	Ariad Pharm. Inc.
18.	WO	01/83517	A1		11-08-2001	Tularik Inc.

Examiner Signature	Date Considered
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 3

**Complete if Known**

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Examiner Name	To Be Assigned
Attorney Docket Number	018781-005510US

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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	19.	ANONYMOUS, "STAT antibodies" Research Diagnostics, pp.1-9, March 26, 1998	
	20.	ANONYMOUS, "Stat sampler kit" The 1998 Online Antibody Catalog, Transduction Laboratories, 5 pages, March 29, 1998.	
	21.	ANONYMOUS, "The jak-STAT mechanism of signal transduction" The Cytokine Bulletin pp. 1-6 (1995) at <http://www.mdsystems.com/asp/b> (visited on January 8, 2003)	
	22.	BLOMMERS et al., "Transferred cross-correlated relaxation complements transferred NOE: Structure of an IL-4R-derived peptide bound to STAT-6" J. Am. Chem. Soc. 121(9):1949-1953 (1999)	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet | 3 | of | 3 |

### Complete if Known

Application Number	10/008,244
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First Named Inventor	Huang, Alan
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Attorney Docket Number	018781-005510US

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	36.	SCHINDLER, C. et al., "Transcriptional responses to polypeptide ligands: The JAK-STAT pathway" Annu. Rev. Biochem. 64:621-51 (1995)	
	37.	SCHLESSINGER, J. "SH2/SH3 signaling proteins" Curr. Opin. in Gen. and Dev. 4:25-30 (1994)	
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